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(54) Title: OCULAR DRUG DELIVERY SYSTEMS AND USE THEREOF

(57) Abstract: The invention provides a microsphere formulation for the sustained delivery of an aptamer, for example, an anti-Vascular Endothelial Growth Factor aptamer, to a preselected locus in a mammal. In addition, the invention provides methods for making such formulations, and methods of using such formulations to deliver an aptamer to a preselected locus in a mammal. In particular, the invention provides a method for delivering the aptamer to a scleral surface of an eye for the treatment of an ocular disorder, for example, age-related macular degeneration.



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OCULAR DRUG DELIVERY SYSTEMS AND USE THEREOF**RELATED APPLICATIONS**

This application is a continuation-in-part of U.S.S.N. 10/139,656, filed May 2, 2002, and claims benefit of United States provisional application 60/438,651, filed January 8, 2003, the disclosures of which are hereby incorporated by reference.

5 FEDERAL FUNDING

The invention was made with funds from the National Eye Institute Grants EY12611 and EY11627. The government therefore may have certain rights in the invention.

FIELD OF THE INVENTION

The invention relates to methods and compositions for delivering a Vascular Endothelial
10 Growth Factor inhibitor to a mammal, and more particularly to methods and compositions for delivering an anti-Vascular Endothelial Growth Factor aptamer to a mammal.

BACKGROUND OF THE INVENTION

The way a particular drug is administered to a recipient can significantly affect the efficacy of the drug. For example, some therapies, in order to be optimal, require that the drug
15 be administered locally to a particular target site. Furthermore, some of those drugs need to be present at the target site for a prolonged period of time to exert maximal effect.

One approach for achieving localized drug delivery involves the injection of drug directly into the site of desired drug activity. Unfortunately, this approach may require periodic injections of drug to maintain an effective drug concentration at the target site. In order to
20 prolong the existence at the target site, the drug may be formulated into a slow release formulation (see, for example, Langer (1998) NATURE 392, Supp. 5-10). Following administration, drug then is released via diffusion out of, or via erosion of the matrices. Alternatively, drug can be encapsulated within a semi-permeable membrane or liposome. Following administration, the drug is released either by diffusion through the membrane or via
25 breakdown of the membrane. However, problems associated with localized drug injection can include, for example, repeated visits to a health care professional for repeated injections,

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difficulty in stabilizing drugs within slow release formulations, and the control of the concentration profile of the drug over time at the target site.

Another approach for localized drug delivery includes the insertion of a catheter to direct the drug to the desired target location. The drug can be pushed along the catheter from a drug reservoir to the target site via, for example, a pump or gravity feed. Typically, this approach employs an extracorporeal pump, an extracorporeal drug reservoir, or both an extracorporeal pump and extracorporeal drug reservoir. Disadvantages can include, for example, the risk of infection at the catheter's point of entry into the recipient's body, and that, because of their size, the pump and/or the reservoir may compromise the mobility and life style of the recipient.

Over the years, implantable drug delivery devices have been developed to address some of the disadvantages associated with localized injection of drug or the catheter-based procedures. A variety of implantable drug delivery devices have been developed to date. However, there is still an ongoing need in the art for reliable drug delivery systems that permit the localized delivery of a drug of interest over a prolonged period of time.

SUMMARY OF THE INVENTION

The invention is based, in part, upon the discovery that an anti-Vascular Endothelial Growth Factor (VEGF) aptamer, when encapsulated in a biocompatible polymer microsphere, can be released under physiological conditions over a period of least 20 days, and that the aptamer, when released, retains its biological activity.

In one aspect, the invention provides microspheres for the sustained release of an anti-VEGF aptamer. The microspheres include the anti-VEGF aptamer and a biocompatible polymer, where the amount of the aptamer in the microsphere varies from 0.1% to 30% (w/w), 0.1% to 10% (w/w), or, desirably, 0.5% to 5% (w/w) of the microsphere. The microspheres may further include a stabilizer, for example, a sugar, for example, trehalose. In one embodiment, the mass ratio of aptamer to trehalose in the microsphere is at least 1:3.

In one embodiment, the biocompatible polymer is a degradable polymer. Degradable polymers useful in the preparation of the microspheres include polycarbonates, polyanhydrides, polyamides, polyesters, polyorthoesters, and copolymers or mixtures thereof. Exemplary polyesters include poly(lactic acid), poly(glycolic acid), poly(lactic acid-co-glycolic acid),

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polycaprolactone, blends thereof and copolymers thereof. Desirably, the half-life for the degradation of the degradable polymer under physiological conditions is at least about 20 days and more preferably is at least about 30 days. In a preferred embodiment, the microspheres comprise a poly(lactic acid co-glycolic acid) (PLGA) polymer.

5 In another embodiment, the biocompatible polymer is a non-degradable polymer. Non-degradable polymers useful in the preparation of the microspheres include polyethers, vinyl polymers, polyurethanes, cellulose-based polymers, and polysiloxanes. Exemplary polyethers include poly (ethylene oxide), poly (ethylene glycol), and poly (tetramethylene oxide). Exemplary vinyl polymers include polyacrylates, acrylic acids, poly (vinyl alcohol), poly (vinyl
10 pyrrolidone), and poly (vinyl acetate). Exemplary cellulose-based polymers include cellulose, alkyl cellulose, hydroxyalkyl cellulose, cellulose ethers, cellulose esters, nitrocellulose, and cellulose acetates.

Whichever biocompatible polymer is used, in one embodiment, the microspheres preferably have an average diameter in the range from about 1 μm to about 200 μm , from about 5
15 μm to about 100 μm , and from about 10 μm to about 50 μm . In one embodiment, the microspheres have an average diameter of about 15 μm .

In another aspect, the invention provides a method of treating or inhibiting an ocular disease state in a mammal in need thereof using any of the microsphere compositions described herein. The method includes administering the microspheres to a mammal in amounts sufficient
20 to treat or inhibit the disease. In one embodiment, in order to treat an ocular disorder, the microspheres are disposed upon the outer surface of the sclera. In such a system, once the aptamer is released out of the microsphere, the aptamer traverses the sclera to exert its effect, for example, reduce or inhibit the activity of the VEGF receptor, within the eye.

The microspheres may be used to treat a variety of ocular disorders including, for
25 example, optic disc neovascularization, iris neovascularization, retinal neovascularization, choroidal neovascularization, corneal neovascularization, vitreal neovascularization, glaucoma, pannus, pterygium, macular edema, vascular retinopathy, retinal degeneration, uveitis, inflammatory diseases of the retina, and proliferative vitreoretinopathy. The corneal neovascularization to be treated or inhibited may be caused by trauma, chemical burns and
30 corneal transplantation. The iris neovascularization to be treated or inhibited may be associated with diabetic retinopathy, vein occlusion, ocular tumor and retinal detachment. The retinal

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neovascularization to be treated or inhibited may be associated with diabetic retinopathy, vein occlusion, sickle cell retinopathy, retinopathy of prematurity, retinal detachment, ocular ischemia and trauma. The intravitreal neovascularization to be treated or inhibited may be associated with diabetic retinopathy, vein occlusion, sickle cell retinopathy, retinopathy of prematurity, retinal detachment, ocular ischemia and trauma. The choroidal neovascularization to be treated or inhibited may be associated with retinal or subretinal disorders, such as, age-related macular degeneration, presumed ocular histoplasmosis syndrome, myopic degeneration, angioid streaks and ocular trauma.

In another aspect, the invention provides a method of preparing the microspheres. The method includes the steps of: a) dissolving a biocompatible polymer in a solvent to form a solution; b) combining an aptamer of interest with the solution to produce a mixture; c) optionally combining the mixture of step b with a coacervating agent (optionally, while homogenizing the solution); and d) permitting the biocompatible polymer to form microspheres containing the aptamer. During step b, a stabilizer, for example, a sugar, for example, trehalose may be added to the mixture. For example, when trehalose is added, the mass ratio of aptamer to trehalose preferably is at least 1:3.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other aspects of the invention and the various features thereof may be more fully understood from the following description when read together with the accompanying drawings, in which:

Figure 1 is a schematic illustration in perspective view of an exemplary *in vitro* transcleral drug delivery device employing a drum-type drug-containing reservoir and a rotatable puncturing member.

Figures 2A-2B are scanning electron micrographs of PLGA microspheres. **Figure 2A** depicts PLGA microspheres loaded with an anti-VEGF aptamer EYE001 before incubation with release medium. **Figure 2B** depicts the microspheres after 10 days of exposure to aqueous release medium. The average diameter of the microspheres was $14 \pm 6 \mu\text{m}$, based upon the average diameter of approximately 30 different microspheres.

Figures 3A-3B depict the release profiles of excipient-free EYE001 and EYE001 colyophilized with trehalose. **Figure 3A** depicts the cumulative release profile of (square)

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excipient-free EYE001 and (triangle) EYE001-Tre from PLGA microspheres. **Figure 3B** depicts the correlation between the amount of EYE001 released and the square root of time for (square) excipient-free EYE001 and (triangle) EYE001-Tre. The correlation coefficients of the released formulations were 0.9852 and 0.9946, respectively.

5 **Figures 4A-4C** are graphs depicting the results of cell proliferation assays of human umbilical vein endothelial cells (HUVEC) incubated with EYE001 formulations after release from PLGA microspheres. Each graph indicates the incubation condition and the time-point at which EYE001 was collected after release from PLGA. The mean values represent the average cell count results for each condition in three independent experiments. **Figures 4A, 4B, and 4C** represent short-, mid-, and long-term release time points, respectively (* $P > 0.05$; # $P > 0.05$ versus VEGF-induced cell proliferation; $n = 3$ for all time points).

10 **Figure 5** is a graph depicting the circular dichroism (CD) spectra of reconstituted EYE001 formulations under different lyophilization conditions. The spectra were recorded after reconstitution of samples in phosphate buffered saline.

15 **Figure 6** is a schematic illustration of an exemplary device for measuring transcleral drug delivery.

Figures 7A-7C depict scanning electron microscope images of bare rabbit sclera (**Figure 7A**) and rabbit sclera with PLGA microspheres after 18 hours (**Figure 7B**) and 6 days of exposure to the orbital surface (**Figure 7C**).

20 **Figures 8A-8D** depict cross-sections (**Figures 8A, 8B**) and longitudinal sections (**Figures 8C, 8D**) of rabbit sclera analyzed by transmission electron microscopy. Fresh rabbit sclera (**Figures 8A, 8C**) was used as controls to compare with sclera incubated in phosphate buffered saline at 37 °C for 6 days (**Figures 8B, 8D**).

DETAILED DESCRIPTION OF THE INVENTION

25 The invention provides a composition of matter that permits the sustained delivery of aptamers to a preselected locus in a mammal. The aptamers, preferably are anti-VEGF aptamers. The aptamers, for example, the anti-VEGF aptamers, may be used in the treatment of a variety of disorders associated with VEGF activity, for example, neovasculation associated with the activation of the VEGF receptor by a VEGF molecule. In such a system, the administration of
30 the VEGF aptamer acts by binding the VEGF receptor to block, prevent or otherwise minimize

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the binding of a naturally occurring VEGF molecule to that receptor. The aptamers may be useful in the treatment of ocular disorders that are initiated, mediated or facilitated by means of the VEGF receptor. The microspheres permit the sustained release of the aptamers to the site of interest so that the aptamers can exert their biological activity over a prolonged period of time.

Once implanted, the aptamer containing microspheres may deliver the aptamer of interest over a prolonged period of time into the tissue or body fluid surrounding the microspheres thereby imparting a localized prophylactic and/or therapeutic effect. It is contemplated that the microspheres may administer the aptamer of interest over a period of weeks (for example, 1, 2, or 3 weeks), and more preferably months (for example, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, or 11 months), or longer.

1. Aptamers

It is contemplated that the microspheres of the invention may be used to deliver an aptamer of interest to a preselected locus, for example, an eye, in a mammal, for example, a human, on a sustained basis. In a preferred embodiment, the microspheres of the invention permit the sustained delivery of an anti-VEGF aptamer. One anti-VEGF aptamer of interest is known in the art as EYE001 and was formerly known in the art as NX1838 (see, Drolet *et al.* (2000) PHARM. RES. 17:1503-1510; Ruckman *et al.* (1998) J. BIOL. CHEM. 273:20556-20567; Carrasquillo *et al.* (2003) INVEST. OPHTHALMOL. VIS. SCI. 44:290-299). EYE001 is available from Gilead Sciences, Inc. (Boulder, CO) and was identified by the systematic evolution of ligands by exponential enrichment (SELEX) process (Ruckman *et al.* (1998) J. BIOL. CHEM. 273:20556-20567; Costantino *et al.* (1998) J. PHARM. SCI. 87:1412-1420). EYE001 can be supplied as a liquid formulation of 3 mg/200 μ L saline solution.

EYE001 is a pegylated RNA aptamer of 50 kDa, with an A-type secondary structure, 40 mg/mL solubility, and a net negative charge of -28. The structure of EYE001 is as follows:

5'-[40 kd PEG]-[HN-(CH₂)₅O]-pC_fpG_mpG_mpA_rpA_rpU_fpC_fpA_mpG_mpU_fpG_mpA_mpA_mpU_fpG_mpC_fpU_fpU_fpA_mpU_fpA_mpC_fpA_mpU_fpC_fpC_fpG_m3'-p-3'dT. The 40 kd PEG component represents two 20 kilodalton-poly(ethylene glycol) polymer chains covalently attached to the two amine groups on a lysine residue via carbamate linkages. This moiety is in turn linked to the oligonucleotide via a bifunctional amino linker, [HN-(CH₂)₅O-]. The linker is attached to the

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oligonucleotide by a standard phosphodiester bond; p represents the phosphodiester functional groups that link sequential nucleosides and that link the amino linker to the oligonucleotide. All of the phosphodiester groups are negatively charged at neutral pH and have a sodium atom as the counter ion; G_m or A_m and C_f or U_f and A_r represent 2'-methoxy, 2'-fluoro and 2'-hydroxy variations of their respective purines and pyrimidines; C, A, U, and G is the single letter code for cytidylic, adenylic, uridylic, and guanylic acids. All phosphodiester linkages of this compound, with the exception of the 3'-terminus, connect the 5' and 3' oxygens of the ribose ring. As shown, the phosphodiester linkage between the 3'-terminal dT and the penultimate G_m links their respective 3'-oxygens. This is referred to as a 3', 3' cap.

Although the EYE001 aptamer currently is preferred, it is contemplated that the microspheres of the invention may deliver other aptamers of interest on a sustained basis.

2. Aptamer Containing Microspheres and Fabrication Thereof

In order to permit sustained delivery of an aptamer of interest, the aptamer is encapsulated within a microsphere comprising a biocompatible polymer. The choice of the appropriate microsphere system will depend upon rate of aptamer release required by a particular regime. The aptamer may be homogeneously or heterogeneously distributed within the microspheres. Furthermore, both non-degradable and degradable microspheres can be used. Suitable microspheres may include polymers and polymeric matrices, non-polymeric matrices, or inorganic and organic excipients and diluents such as, but not limited to, calcium carbonate and sugar. Synthetic polymers are preferred because generally they are more reliable, more reproducible and produce more defined release profiles. The microspheres can be designed so that aptamers having different molecular weights are released by diffusion through or degradation of the microspheres.

As mentioned, it is contemplated that useful biocompatible polymers may include biodegradable and/or non-biodegradable polymers. Suitable biodegradable polymers useful in the preparation of the microspheres include polycarbonates, polyanhydrides, polyamides, polyesters, polyorthoesters, and copolymers or mixtures thereof. Exemplary polyesters include poly(lactic acid), poly(glycolic acid), poly(lactic acid-co-glycolic acid), polycaprolactone, blends thereof and copolymers thereof. Desirably, the half-life for the degradation of the degradable polymer under physiological conditions is at least about 20 days and more preferably is at least

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about 30 days. Suitable non-biodegradable polymers useful in the preparation of microspheres include polyethers, vinyl polymers, polyurethanes, cellulose-based polymers, and polysiloxanes. Exemplary polyethers include poly (ethylene oxide), poly (ethylene glycol), and poly (tetramethylene oxide). Exemplary vinyl polymers include polyacrylates, acrylic acids, poly (vinyl alcohol), poly (vinyl pyrrolidone), and poly (vinyl acetate). Exemplary cellulose-based polymers include cellulose, alkyl cellulose, hydroxyalkyl cellulose, cellulose ethers, cellulose esters, nitrocellulose, and cellulose acetates.

It is contemplated that in order to produce the appropriate release kinetics, the microspheres may comprise one or more biodegradable polymers or one or more non-biodegradable polymers. Furthermore, it is contemplated that the microspheres may comprise one or more biodegradable polymers in combination with one or more non-biodegradable polymers. Whichever biocompatible polymer is used, in one embodiment, the microspheres preferably have an average diameter in the range from about 1 μm to about 200 μm , from about 5 μm to about 100 μm , and from about 10 μm to about 50 μm . In one embodiment the microspheres have an average diameter of about 15 μm .

In a preferred embodiment, the microspheres are fabricated from poly(lactic acid-co-glycolic acid (PLGA). Aptamer containing PLGA microspheres can be prepared, for example, using non-aqueous oil-in-oil methods (see, Carrasquillo *et al.* (2001) J. CONTROL RELEASE 76:199–208). Briefly, 25 to 30 mg of solid aptamer is suspended in a solution of 200 mg/2 mL PLGA (Resomer 502 H, i.v. (inherent viscosity) 0.16–0.24 dL/g, 0.1% in chloroform, 25°C, molecular weight [Mw] 10 to 12 kDa, half-life for degradation approximately 1 to 1.5 months; Boehringer Ingelheim Pharma KG, Ingelheim, Germany) in methylene chloride using a homogenizer (Polytron, model PT 1200C; Brinkman, Westbury, NY) having a standard 12-mm diameter generator at approximately 20,000 rpm for 1 minute. After suspension of the aptamer, a coacervating agent, for example, poly(dimethylsiloxane), optionally can be added at a rate of 2 mL/min under constant homogenization, to ensure homogeneous dispersion of the coacervating agent, phase separation of PLGA dissolved in methylene chloride, and formation of microspheres. The coacervating mixture containing the microspheres then is poured into an Erlenmeyer flask containing 50 mL heptane under constant agitation and stirred for 3 hours at room temperature to allow for hardening of the microspheres. Microspheres then are collected by filtration with the use of a 0.22- μm nylon filter, washed twice with heptane, and dried for 24 hours at a vacuum of 80 mbar.

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Encapsulation efficiency can be determined using standard methodologies (Carrasquillo *et al.* (2001) J. PHARM PHARMACOL. 53:115–120). For example, ten milligrams of PLGA microspheres are placed in 2 mL methylene chloride and stirred for 30 minutes to dissolve the polymer. The solution then is centrifuged at 10,000 rpm for 10 minutes to precipitate the insoluble RNA aptamer. The supernatant then is removed, and the remaining methylene chloride allowed to evaporate. In order to ensure evaporation of the methylene chloride, the sample can be placed in a vacuum for 24 hours. The aptamer then is dissolved in Dulbecco's phosphate-buffered saline (DPBS; GibcoBRL, Grand Island, NY), and the concentration of entrapped aptamer in PLGA determined spectrophotometrically. The percentage encapsulation efficiency can be calculated by relating the experimental aptamer entrapment to the theoretical aptamer entrapment: $(\text{experimental/theoretical}) \times 100$.

In one embodiment, the microspheres include the anti-VEGF aptamer and a biocompatible polymer, where the amount of the aptamer in the microsphere varies from 0.1% to 30% (w/w), 0.1% to 10% (w/w), or, desirably, 0.5% to 5% (w/w) of the microsphere. It is understood that nucleic acids may suffer from depurination and become susceptible to free radical oxidation in aqueous solutions (Lindahl (1993) NATURE 362:709–715; Demple *et al.* (1994) ANNU REV BIOCHEM. 63:915–948). This effect may be reduced, minimized or eliminated by the addition of a stabilizer, for example, a sugar. An effective stabilizer is the sugar, trehalose. In one embodiment, the mass ratio of aptamer to trehalose in the microsphere is at least 1:3.

It is contemplated that the microspheres may comprise an anti-VEGF aptamer in combination with another angiogenesis inhibitor, that is, a compound that reduces or inhibits the formation of new blood vessels in a mammal. For example, the microspheres may comprise two or more different anti-angiogenesis aptamers. Alternatively, the microspheres in addition to containing an anti-VEGF aptamer may also include another type of angiogenesis inhibitor, for example, an angiogenic steroid, for example, hydrocortisone and anecortave acetate (Penn *et al.* (2000) INVEST. OPHTHALMOL. VIS. SCI. 42:283-290), or another small molecule, for example, thalidomide (D'Amato *et al.* (1994) PROC. NATL. ACAD. SCI. USA 91:4082-4085).

3. Microsphere Delivery

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Once fabricated, the microspheres may be delivered using a variety of delivery devices know in the art. The choice of a particular delivery system will depend upon a variety of factors including, for example, the amount of aptamer that needs to be administered to an individual to exert an effect, the duration of the microspheres and the aptamer in the recipient, and the length
5 of time that is needed to treat a particular disorder.

It is contemplated that the aptamer containing microspheres may be used in a variety of different applications. In one embodiment, the microspheres may be used to administer the aptamers to an eye thereby to treat or ameliorate the symptoms of one or more ocular disorders. For example, the microspheres may be particularly useful in the treatment of a variety of ocular
10 disorders, for example, optic disc neovascularization, iris neovascularization, retinal neovascularization, choroidal neovascularization, corneal neovascularization, vitreal neovascularization, glaucoma, pannus, pterygium, macular edema, vascular retinopathy, retinal degeneration, uveitis, inflammatory diseases of the retina, and proliferative vitreoretinopathy. The corneal neovascularization to be treated or inhibited may be caused by trauma, chemical
15 burns and corneal transplantation. The iris neovascularization to be treated or inhibited may be associated with diabetic retinopathy, vein occlusion, ocular tumor and retinal detachment. The retinal neovascularization to be treated or inhibited may be associated with diabetic retinopathy, vein occlusion, sickle cell retinopathy, retinopathy of prematurity, retinal detachment, ocular ischemia and trauma. The intravitreal neovascularization to be treated or inhibited may be
20 associated with diabetic retinopathy, vein occlusion, sickle cell retinopathy, retinopathy of prematurity, retinal detachment, ocular ischemia and trauma. The choroidal neovascularization to be treated or inhibited may be associated with retinal or subretinal disorders of age-related macular degeneration, presumed ocular histoplasmosis syndrome, myopic degeneration, angioid streaks and ocular trauma.

It has been found that certain drugs, when applied to the outer surface of an eye, can traverse the sclera and enter the interior of the eye (see, PCT/US00/00207 and Ambati *et al.* (2000) INVESTIGATIVE OPHTHALMOLOGY AND VISUAL SCIENCE 41:1181-1185). More specifically, it has been found that large molecules, for example, immunoglobulin G can diffuse across the sclera of rabbit eyes in a manner consistent with porous diffusion through a fiber
25 matrix (Ambati *et al.* (2000) *supra*). This observation has led to the possibility of delivering
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immunoglobulins and other large compounds transclerally to treat disorders associated with, for example, the retina and choroid (Ambati *et al.* (2000) *supra*).

A variety of drug delivery devices may be used to deliver aptamer containing microspheres to the scleral surface of an eye. The microspheres degrade releasing the aptamer, which then traverses the sclera to exert its effect within the eye. Exemplary transcleral drug delivery devices include passive drug delivery devices where drug is released gradually from an implanted device over time (see, for example, U.S. Patent Nos. 5,300,114; 5,836,935; 6,001,386; and 6,413,540; and PCT/US00/28187).

Furthermore, a variety of mechanical devices may be used to deliver microspheres to a scleral surface. Exemplary devices include, for example, osmotic pumps and electromechanical devices. A variety of osmotic drug delivery devices are known in the art. For example, one such device is available commercially from Durect Corp. (Cupertino, CA) under the tradename DUROS[®]. Similarly another device is available from ALZA Scientific Products (Mountain View, CA), under the tradename ALZET[®]. In some devices, the influx of fluid into the device causes an osmotically active agent to swell. The swelling action can then be employed to push drug initially stored in a reservoir out of the device. DUROS[®] pumps reportedly deliver up to 200 mg of drug at rates as low as 0.5 μ L per day. A variety of different osmotically driven drug delivery devices are described, for example, in U.S. Patent Nos. 4,957,494; 5,236,689; and 5,391,381.

A variety of electromechanical devices are known in the art. U.S. Patent Nos. 5,797,898 and 6,123,861 disclose microchip based drug delivery devices. A plurality of drug reservoirs are etched into a substrate, for example, a single microchip. Drugs then are sealed within each of the reservoirs with a seal. The seal can be either a material that degrades over time or a material that dissolves upon application of an electric potential. See also Santini *et al.* (1999) NATURE 397:335-338, which similarly discloses a solid-state silicon microchip that provides controlled release of a drug of interest via electrochemical dissolution of a thin membrane covering a micro-reservoir filled with drug. Other electromechanical devices, which employ moveable parts to break the seals of drug-containing cavities to release a drug of interest are disclosed in PCT/US02/14279.

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Figure 1 shows a perspective view of an exemplary electromechanical drug delivery device, as disclosed in PCT/US02/14279. In this device, casing **12** (cut-away to reveal the inner components) defines an aperture port **14** and an optional eye contacting surface **30**. In this embodiment, the rotational axes of reservoir member **18** and puncturing member **26** are disposed along a plane perpendicular to the plane defined substantially by the eye contacting surface **30**. Reservoir member **18**, in the form of a drum cylinder, rotates relative to casing **12**, and puncturing member **26**. In addition, puncturing member **26**, rotates incrementally relative to reservoir member **18**. Puncturing member **26** contains a plurality of cutting and/or piercing instruments **34** spaced apart from one another and disposed radially about an outer surface of puncturing member **26**. Rotation of puncturing member **26** relative to reservoir member **18** causes sequential cutting and/or piercing of a seal for a particular aptamer-containing cavity **20**. A gear mechanism **40** and **42** located at one end of puncturing member **26** and at the corresponding end of reservoir member **18** causes puncturing member **26** and reservoir member **18** to rotate relative to one another so as to permit the cavities to be opened in a timed sequence. Once a seal is cut and/or pierced, the aptamer and/or aptamer-microsphere formulation exits the cavity and passes out of casing **12** via aperture **14**. The puncturing member **26** and reservoir member **18** then rotate relative to one another so that a different cutting and/or piercing instrument **34** is brought into contact with different seal of a different cavity **20**. The speed of rotation of puncturing member **26** and reservoir member **18** relative to one another can be adjusted to cause the release of the microspheres over a desired period of time.

In a preferred embodiment, drive mechanism **24**, comprises a U-shaped pivotable member pivotably coupled to reservoir member **18**. During operation, the pivotable member pivots about the drum, the motion of which is coupled, for example, via a ratchet and pawl mechanism, to reservoir member **18** so as to positively drive reservoir member **18** in unilateral increments about its axis of rotation. The incremental rotation of reservoir member **18**, in turn, positively drives rotation of puncturing member **26** via, for example, interfitting gear components **40** and **42**.

U-shaped pivotable member preferably comprises one or more permanent magnets disposed within the U-shaped portion of the pivotable member (for example, two permanent magnets facing one another and each disposed on each side of the U-shape). Motion can be induced by induction of a magnetic field in the vicinity of the permanent magnets, thereby

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inducing their motion in one way or another. The magnetic field can be created by periodically passing current through an immobilized coil. For example, the immobilized coil may be attached to the interior of casing **12**, and positioned so that at certain times, for example, when no magnetic field is generated by the coil, the U-shaped pivotable member can return to a position in which the coil is disposed within the central void defined by each arm of the U-shaped member.

In an exemplary transcleral drug delivery device, the device comprises a casing, which preferably has an eye contacting surface (i) complementary in shape to the outer surface of the eye and (ii) defines an aperture port running therethrough. As a result, the aptamer and/or the aptamer containing microspheres exit the casing via the aperture port and contact the outer surface of the eye in the vicinity of the aperture port. The drug delivery device can be attached to the eye using routine surgical or medical procedures. For example, the device may be attached to the outer surface of the eye via, for example, tissue adhesive, scleral flaps, suture techniques, or a combination thereof.

When tissue adhesive is used, the adhesive is applied to the eye contacting surface of the casing, the contact surface of the eye, or both, and then the device is attached to the outer surface of the eye. A preferred tissue adhesive includes isobutyl cyanoacrylate adhesive available from Braun, Melsunger, Germany, and Ellman International, Hewlett, NY. In addition, tissue adhesive may be used to seal the edge of the device casing to the sclera. Also, the tissue adhesive may be used to secure scleral flaps to outer portions of the device casing.

In the scleral flap approach, partial thickness scleral flaps are created using a surgical blade, such as, a 57 Beaver blade. The flaps preferably are of a width to cover at least a portion of the outer casing of the device. In an embodiment, the tissue contacting surface of the device casing may optionally contain a rim or flange extending around the casing so that the scleral flap can be wrapped over and then attached to the rim or flange. Once the device is positioned, the scleral flaps can be sutured to each other and/or glued to the device casing using tissue adhesive.

In the suturing approach, sutures are passed through partial thickness sclera and then through correspondingly located aperture holes, eyelets or rings disposed in the device casing. Sutures preferably are preplaced if adhesive is to be used in conjunction with suturing. Sutures

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useful for immobilizing the device include, for example, 4-0 or 5-0 monofilament nylon, silk, mersilene or polyester. Once the device is positioned, the sutures then are permanently secured.

Furthermore, if desirable the portion of the sclera that contacts the device casing, and more preferably the portion of the sclera located adjacent to the aperture port of the casing, may be thinned prior to attachment of the device. Thinning may be accomplished using a surgical blade or a laser, for example, an Erbium YAG laser.

The desired rate of aptamer delivery will depend upon the age, sex, and weight of the recipient, as well as the particular aptamer and the disorder to be treated. The choice of a particular aptamer, the rate and mode of administration, and site of implantation are within the level of skill in the art. For example, aptamer may be administered at doses ranging, for example, from about 0.001 to about 500 mg/kg, more preferably from about 0.01 to about 250 mg/kg, and most preferably from about 0.1 to about 100 mg/kg. Using such a device, aptamer may be administered periodically as boluses. Thereafter, the microspheres break down over time to release the aptamer over a prolonged period of time to simulate continuous not bolus administration.

To the extent that the aptamer containing device becomes exhausted, for example, runs out of power and/or aptamer, the device may be removed. A new device may then be attached to the site of interest or the old device, once refabricated with a new power source and/or aptamer, reimplanted at the site of interest.

The present invention may be further understood by reference to the following non-limiting examples.

Example 1. Implantable Drug Delivery Device.

A device for delivering the anti-Vascular Endothelial Growth Factor aptamer (EYE001, formerly known as NX1838) (see, Drolet *et al.* (2000) PHARM. RES. 17:1503-1510; Ruckman *et al.* (1998) J. BIOL. CHEM. 273:20556-20567) is fabricated in a device as shown in **Figure 1**. The cavities, each having an internal volume of about 0.25 μ L disposed about the surface of a titanium drum are filled with the aptamer. The cavities then are sealed by coating the drum with parylene. A titanium overcoat then is applied onto the parylene layer by sputter deposition. The drum then is placed within a titanium casing having (i) a surface complementary in shape to the

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outer surface of an eye, (ii) an aperture in the surface to permit fluid to enter the casing and contact the outer surface of the drum, and (iii) a plurality of eyelets or fenestrations to permit the suturing of the device onto the outer surface of the eye.

The drum is placed within the casing in operative association with a power source, a magnetic drive mechanism, and a rotating puncturing member having a plurality of puncture needles disposed about a surface thereof. The magnetic drive mechanism is coupled to the drum via a biased ratchet mechanism, so that when the magnetic drive mechanism is periodically activated and deactivated, it incrementally rotates the drum. The drum also incrementally rotates the puncturing member via a gear mechanism preferably fabricated from interfitting titanium components. A needle disposed on the rotating puncturing member, when it contacts a cavity seal on the drug, pierces the seal to permit the release of aptamer out of the cavity. The needles on the puncturing member move in register with the cavities disposed about the surface of the incrementally rotating drum so that on a periodic basis a needle punctures the seal of a microsphere-containing cavity. Puncturing is repeated so that microspheres are sequentially released from a series of cavities to provide aptamer delivery over a prolonged period of time. The relative speed of rotation of the drum and puncturing member, and thus the rate of seal breakage, can be adjusted to change the rate of microsphere and, therefore, aptamer release.

Example 2. Implantation of Drug Delivery Device.

Surgical implantation of the drug delivery device preferably is performed under general or local anesthesia. In one approach, a 360-degree conjunctival peritomy is performed to open the conjunctiva and Tenon's capsule. Blunt scissors then are inserted into the quadrants between the rectus muscles, and the Tenon's capsule dissected from the underlying sclera. The rectus muscles then are isolated and looped on one or more retraction sutures, which permit rotation of the globe and exposure of the quadrants.

The device preferably is inserted into an accessible quadrant, for example, the superotemporal quadrant or the inferotemporal quadrant. Placement preferably is posterior to the muscle insertions and more preferably posterior to the equator. The device is placed temporarily in the selected quadrant to allow a determination of whether the conjunctiva and Tenon's capsule cover the device. If necessary, a relaxing incision may be made in the conjunctiva away from the quadrant selected for the device.

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Fixation of the device may be accomplished using one or more of a tissue adhesive, scleral flaps, or sutures. Once the device is fixed to the sclera, the muscle retraction sutures are removed and the conjunctiva and Tenon's capsule closed over the device. The conjunctiva can then be sutured at the limbus using standard procedures. When implanted, the drug delivery device is activated to permit the microspheres to be administered to the surface of the eye at the desired rate.

Example 3. Controlled Delivery of the Anti-VEGF Aptamer with Poly(lactic-co-glycolic) Acid Microspheres.

The following example demonstrates the effectiveness of a drug delivery modality that releases the anti-VEGF aptamer, EYE001, in a sustained and controlled manner over a significant period when applied locally to the outer part of the sclera. The retina and choroid are the target tissues, because this aptamer, as is discussed *supra*, is intended to block the contribution of VEGF to choroidal neovascularization and diabetic macular edema, respectively. Use of such a transscleral administration, no more frequently than every 6 weeks, is an attractive substitute to intravitreal injections of the aptamer.

As is discussed below, PLGA microspheres containing anti-VEGF RNA aptamer (EYE001) formulations in the solid-state were developed by an oil-in-oil solvent evaporation process. *In vitro* experiments were performed to characterize the release profiles. Stability and bioactivity of the released drug were assayed by monitoring the aptamer's ability to inhibit VEGF-induced cell proliferation in human umbilical vein endothelial cells (HUVECs). Cell proliferation experiments were conducted with aptamer aliquots collected after short-, mid-, and long-term release time points. To demonstrate the feasibility of this polymer device as a potential transscleral delivery device, an *in vitro* apparatus was developed to assess polymer hydration and degradation through rabbit sclera and subsequent delivery through it. The results show that PLGA microspheres are able to deliver EYE001 in a sustained manner, with an average rate of 2 µg/day, over a period of 20 days. Solid-state stabilization of the aptamer with the disaccharide trehalose before lyophilization and encapsulation in PLGA rendered the drug more stable after release. Cell proliferation experiments demonstrated that the bioactivity of the aptamer was preserved after release, as indicated by inhibition of endothelial cell proliferation after incubation with VEGF. Microspheres packed into a sealed chamber and placed onto the

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“orbital” part of a rabbit sclera for a period of 6 days became hydrated and started to degrade, as shown by scanning electron microscopy (SEM). As a result, the aptamer was delivered from the microspheres through the sclera, as determined spectrophotometrically. These experiments demonstrate that it is possible to load aptamer-containing microspheres into a device and that the resulting device can be placed on the orbital surface of the sclera. RNA aptamer EYE001 encapsulated in PLGA was delivered over a period of 20 days with retained activity.

The following data also demonstrate the feasibility of delivering the anti-VEGF aptamer EYE001 in a sustained and controlled manner and in a biologically active form.

A. Production of Lyophilized RNA Aptamer EYE001

EYE001 was produced at Gilead Sciences, Inc. (Boulder, CO) by the systematic evolution of ligands by exponential enrichment (SELEX) process as described (Ruckman *et al.* (1998) J. BIOL. CHEM. 273:20556–20567; Costantino *et al.* (1998) J. PHARM. SCI. 87:1412–1420) and supplied as a liquid formulation of 3 mg/200 μ L saline solution. EYE001 is a pegylated RNA aptamer of 50 kDa, with an A-type secondary structure, 40 mg/mL solubility, and a net negative charge of –28. The structure of EYE001 is as follows:

5'-[40 kd PEG]-[HN-(CH₂)₅O]-pC_fpG_mpG_mpA_rpA_rpU_fpC_fpA_mpG_mpU_fpG_mpA_mpA_mpU_fpG_mpC_fpU_fpU_fpA_mpU_fpA_mpC_fpA_mpU_fpC_fpC_fpG_m3'-p-3'dT.

The 40 kd PEG component represents two 20 kilodalton-poly(ethylene glycol) polymer chains covalently attached to the two amine groups on a lysine residue via carbamate linkages. This moiety is in turn linked to the oligonucleotide via the amino linker, [HN-(CH₂)₅O-], a bifunctional amino linker. The linker is attached to the oligonucleotide by a standard phosphodiester bond; p represents the phosphodiester functional groups that link sequential nucleosides and that link the amino linker to the oligonucleotide. All of the phosphodiester groups are negatively charged at neutral pH and have a sodium atom as the counter ion; G_m or A_m and C_f or U_f and A_r represent 2'-methoxy, 2'-fluoro and 2'-hydroxy variations of their respective purines and pyrimidines; C, A, U, and G is the single letter code for cytidylic, adenylic, uridylic, and guanylic acids. All phosphodiester linkages of this compound, with the exception of the 3'-terminus, connect the 5' and 3' oxygens of the ribose ring. As shown, the

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phosphodiester linkage between the 3'-terminal dT and the penultimate G_m links their respective 3'-oxygens. This is referred to as a 3', 3' cap.

Samples were lyophilized (in a model SNL315SV; Savant Instruments, Farmingdale, NY) at a chamber pressure of 80 mbar and a shelf temperature of -45°C for 48 hours to obtain excipient-free aptamer. The lyophilized material then was sealed in sterilized glass vials and stored at -20°C until use. Lyophilized samples containing trehalose (Sigma Chemical Co., St. Louis, MO) at a 1:3 weight ratio were prepared by adding an appropriate amount of concentrated excipient solution to the excipient-free aptamer solution before lyophilization (Costantino *et al.* (1998) J PHARM SCI. 87:1412-1420; Carrasquillo *et al.* (2000) BIOTECH APPL BIOCHEM. 31:41-53.) The ratio was selected based on the mass amounts of aptamer to trehalose needed to stabilize aptamer structure and function on lyophilization and thus prevent lyophilization-induced structural changes.

B. Microsphere Preparation

PLGA microspheres were prepared by a non-aqueous oil-in-oil method (see, Carrasquillo *et al.* (2001) J. CONTROL RELEASE 76:199-208). Briefly, 25 to 30 mg of solid aptamer was suspended in a solution of 200 mg/2 mL PLGA (Resomer 502 H, i.v. (inherent viscosity) 0.16-0.24 dL/g, 0.1% in chloroform, 25°C, molecular weight [Mw] 10 to 12 kDa, half-life for degradation approximately 1 to 1.5 months; Boehringer Ingelheim Pharma KG, Ingelheim, Germany) in methylene chloride with a homogenizer (Polytron, model PT 1200C; Brinkman, Westbury, NY) using a standard 12-mm diameter generator at approximately 20,000 rpm for 1 minute. After suspension of the aptamer, the coacervating agent poly(dimethylsiloxane) was added at a rate of 2 mL/min under constant homogenization, to ensure homogeneous dispersion of the coacervating agent, phase separation of PLGA dissolved in methylene chloride, and formation of microspheres. The coacervating mixture containing the microspheres then was poured into an Erlenmeyer flask containing 50 mL heptane under constant agitation and stirred for 3 hours at room temperature to allow for hardening of the microspheres. Microspheres were collected by filtration with the use of a 0.22-μm nylon filter, washed twice with heptane, and dried for 24 hours at a vacuum of 80 mbar.

C. Encapsulation Efficiency

Encapsulation efficiency was determined as described (Carrasquillo *et al.* (2001) J. PHARM PHARMACOL. 53:115–120). Briefly, ten milligrams of PLGA microspheres was placed in 2 mL methylene chloride and stirred for 30 minutes to dissolve the polymer. The solution then
5 was centrifuged at 10,000 rpm for 10 minutes to precipitate the insoluble RNA aptamer. The resulting supernatant was removed, and the remaining methylene chloride allowed to evaporate. To ensure evaporation of the methylene chloride, the sample was placed in a vacuum for 24 hours. The aptamer then was dissolved in Dulbecco's phosphate-buffered saline (DPBS; GibcoBRL, Grand Island, NY), and the concentration of entrapped aptamer in PLGA determined
10 spectrophotometrically. The percentage encapsulation efficiency was calculated by relating the experimental aptamer entrapment to the theoretical aptamer entrapment:
(experimental/theoretical) \times 100.

D. Characteristics of PLGA Microspheres

15 Images of the microspheres, obtained by scanning electron microscopy (SEM), after preparation indicated the formation of nonporous spheres with an average diameter of 14 ± 4 (see, **Figure 2A**) and $16 \pm 4 \mu\text{m}$ (see, **Figure 2B**) after hydration. SEM images were obtained as follows. Samples were affixed with double-sided carbon tape to an aluminum stub and sputtered with approximately 100 nm gold (Sputter Coating System; SPI, West Chester, PA). SEM
20 images were then obtained (model S360; Cambridge Instruments, Monsey, NJ). The encapsulation efficiencies of aptamer into PLGA varied with the original amount of drug used as starting material. The encapsulation efficiency for microspheres containing aptamer colyophilized with trehalose was $80\% \pm 5\%$ when 32.1 mg was used as the starting material, whereas for excipient-free aptamer-containing microspheres, the encapsulation efficiency was
25 $71\% \pm 2\%$ when 6.6 mg was used. Analysis of the microspheres after 10 days of release showed degradation of the polymer matrix and the formation of pores through which the aptamer was slowly released (see, **Figure 2B**).

E. RNA Aptamer EYE001 Release from PLGA Microspheres

30 *In vitro* release profiles were studied as follows. Ten milligrams of solid microspheres was placed in 2 mL of DPBS, $1\times$ (pH 7.3) and incubated at 37°C . Every 24 hours, the

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microspheres were centrifuged gently at 500 rpm for 1 minute, and the supernatant was removed for determination of aptamer concentration at 260 nm, $\epsilon_{\text{PBS}} = 25.08 \text{ cm}^{-1} (\text{mg/mL})^{-1}$ as described (Carrasquillo *et al.* (2001) J. CONTROL RELEASE 76:199–208; Jones *et al.* (1995) J. MED. CHEM. 38:2138–2144). Microspheres then were resuspended in 2 mL fresh DPBS to maintain sink conditions and control the pH (Carrasquillo *et al.* (2001) J. CONTROL RELEASE 76:199–208; Park *et al.* (1995) J. CONTROL RELEASE 33:211–222). Ten milligrams of blank (empty) PLGA microspheres were subjected to the same conditions as PLGA-loaded microspheres, and the supernatant collected from these was used as a blank in the spectrophotometric analysis. Data are presented as the average of three independent experiments with standard deviations.

In vitro release profiles (see, **Figure 3A**) for both excipient-free aptamer and aptamer colyophilized with trehalose at a 1:3 weight ratio of aptamer to trehalose (herein referred to as EYE001-Tre) exhibited a controlled release of the drug in a period of more than 20 days. Release kinetics were characterized by a very low-burst release during the first 24-hour period, followed by a continuous release with no evidence of a lag phase. Both formulations were completely released, indicating no adsorption of the aptamer to the polymer core. The average amount of drug released was 2 $\mu\text{g/day}$, regardless of the amount of drug originally encapsulated. The encapsulation efficiency for both formulations in PLGA was 70% to 85%, with a theoretical loading of 3.95% and actual loading of $2.76\% \pm 0.80\%$, indicating that the presence of trehalose had no effect in the encapsulation efficiency of the polymeric system.

The release profiles of EYE001 from these microspheres were characterized by a low initial burst, followed by continuous release in the absence of a lag phase. Typical release profiles from PLGA microspheres are triphasic, characterized by an initial burst as drug entrapped near the surface releases, followed by a lag phase controlled by polymer degradation and final release of the drug as it diffuses from the polymer core as erosion takes place (Batycky *et al.* (1997) J. PHARM. SCI. 86:1464–1477). In the scenario observed, it appears that EYE001 formulations encapsulated in PLGA were homogeneously distributed throughout the polymeric matrix. The process is described by the following equation:

$$Q = \sqrt{2WDC_s t}$$

where Q is the rate of released drug, D is the diffusion coefficient of the drug in the matrix, W is the total amount of the drug per unit volume of matrix, C_s is the solubility of the drug in the matrix, and t is the drug release time.

The release of both excipient-free aptamer and EYE001-Tre from PLGA as a function of the square root of time ($t_{1/2}$) showed a linear relationship with correlation coefficients of 0.98 and 0.99, respectively (see, **Figure 3B**). These data support the hypothesis that both aptamer formulations were released through a diffusion-controlled process. An important consideration in the development of a longterm delivery device for a nucleic acid such as EYE001 is its stability before, during, and after the encapsulation process in PLGA. Nucleic acids are known to suffer depurination and become susceptible to free radical oxidation in aqueous solutions (Lindahl (1993) NATURE 362:709–715; Demple *et al.* (1994) ANNU REV BIOCHEM. 63:915–948). In an attempt to obviate this, EYE001 was colyophilized with the stabilizer trehalose via a completely nonaqueous oil-in-oil method (Carrasquillo *et al.* (1998) PHARM. PHARMACOL. COMMUN. 4:563–571; Schwendeman *et al.* (1996) STABILITY OF PROTEINS AND THEIR DELIVERY FROM BIODEGRADABLE POLYMER MICROSPHERES, New York: Marcel Dekker) for the creation of polymer microspheres that has been effective in the delivery of biologically active proteins with native secondary structures (Carrasquillo *et al.* (2001) J. CONTROL RELEASE 76:199–208; Carrasquillo *et al.* (1998) PHARM. PHARMACOL. COMMUN. 4:563–571; Schwendeman *et al.* (1996) STABILITY OF PROTEINS AND THEIR DELIVERY FROM BIODEGRADABLE POLYMER MICROSPHERES, New York: Marcel Dekker; Ando *et al.* (1999) J. PHARM. SCI. 88:126–130; Sanchez *et al.* (1999) INT. J. PHARM. 185:255–266).

The cell proliferation assays conducted to monitor aptamer bioactivity after release from PLGA microspheres also revealed that the conditions chosen to create the polymer microspheres were satisfactory. As shown in **Figure 4**, EYE001 preserved its ability to inhibit VEGF-induced cell proliferation during all the representative time points along its release from PLGA. Although bioactivity was retained regardless of its formulation state, an improved level of bioactivity was observed in general when EYE001 was colyophilized with trehalose before encapsulation.

F. Secondary Structural Determination of EYE001 Formulations upon Lyophilization

To assess any structural changes due to the nature of the formulation of EYE001 upon lyophilization, EYE001 formulations lyophilized as described hereinabove were reconstituted in PBS and its circular dichroism (CD) spectra determined and compared with an aqueous EYE001 standard. CD spectra were recorded on a CD spectrometer (model 202; Aviv Instruments, Lakewood, NJ). Data were collected at 25°C using a bandwidth of 0.5 nm and an average time

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of 0.1 second. The CD spectra were collected from 200 to 330 nm with a 0.5 cm quartz cells and corrected for the phosphate buffer signal contribution measured under identical conditions.

Given that EYE001 has an A-type RNA structure (duplex formation, right-handed helix) (Ruckman *et al.* (1998) J. BIOL. CHEM. 273:20556–20567), the CD spectra exhibit a maximum of approximately 260 nm and a minimum of approximately 210 nm (Shelton *et al.* (1999) BIOCHEM. 38:16831–16839; Carmona *et al.* (1999) BIOCHIM. BIOPHYS. ACTA 1432:222–233). A decrease in molar ellipticity in either maxima or minima is a reflection of a secondary structural change (Shelton *et al.* (1999) BIOCHEM. 38:16831–16839; Carmona *et al.* (1999) BIOCHIM. BIOPHYS. ACTA 1432:222–233). The CD spectrum of EYE001 in the absence of any excipient on lyophilization and further reconstitution exhibited a slight decrease in intensity at both wavelengths. It was observed that when increasing the mass ratio of the disaccharide stabilizer trehalose (Carrasquillo *et al.* (1999) J. PHARM. SCI. 88:166–173; Carrasquillo *et al.* (2001) J. PHARM. PHARMACOL. 53:115–120; Carrasquillo *et al.* (2001) J. CONTROL RELEASE 76:199–208; Costantino *et al.* (1998) J. PHARM. SCI. 87:1412–1420; Carrasquillo *et al.* (2000) BIOTECH. APPL. BIOCHEM. 31:41–53) to EYE001 before lyophilization, there was an improvement in the retention of structure, as evidenced by molar ellipticities at both wavelengths comparable with those of the aqueous EYE001 standard (see, **Figure 5**).

G. Anti-VEGF Aptamer Activity after Release from PLGA

EYE001 activity after encapsulation and further release from PLGA microspheres was assayed by monitoring its ability to inhibit VEGF-induced proliferation of HUVECs. HUVECs were obtained from Cascade Biologics, Inc. (Portland, OR), and were maintained in growth-factor supplemented medium, including 2% vol/vol fetal bovine serum (FBS), 1 µg/mL hydrocortisone, 10 ng/mL human epidermal growth factor, 3 ng/mL basic fibroblast growth factor, and 10 ng/mL heparin under standard tissue culture conditions (5% CO₂, 37°C, 100% relative humidity). Medium was changed every 48 to 72 hours, and cells were passaged by standard trypsinization and plated at a cell concentration of 2.5×10^3 cells/cm².

To determine the feasibility of polymer microspheres as a viable delivery device, proliferation assays were conducted at various stages during the release period. The representative time points chosen were at early, intermediate, and late stages of release. Proliferation assays were performed as described (Ruckman *et al.* (1998) J. BIOL. CHEM.

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273:20556– 20567) with the following modifications. HUVECs were seeded into 6-well or 12-well plates (2.5×10^3 cells/cm²) as required in growth-factor–deficient medium (Medium 200; 5% FBS, 1 μ g/mL heparin; Cascade Biologics) for 24 hours before experimentation. Aptamers (10 nM) were collected after release from PLGA microspheres at specific time points and then VEGF₁₆₅ (10 ng/mL; R&D Systems, Minneapolis, MN) was added to cells and incubated for 4 days. Cells were trypsinized and counted with a cell counter (model Z1; Coulter, Beds, UK). Wells containing cells without addition of aptamer or VEGF₁₆₅ were trypsinized and counted for basal growth estimation (blanks). The paired Student's two-tailed *t*-test was used to compare cell counts after each incubation condition. An α level of 0.05 was used as the criterion to reject the null hypothesis of equality of means.

The VEGF-induced proliferation of HUVECs after a period of 4 days showed a three-fold average increase in cell counts compared with those found in blanks. On HUVEC incubation with VEGF in the presence of the different aptamer formulations after release, it was evident that regardless of the formulation state, the aptamer was capable of at least partially inhibiting VEGF-induced cell proliferation (see, **Figure 4**). However, it is worth noting that the inhibition showed by the aptamer was, in general, enhanced when EYE001 was colyophilized in the presence of trehalose and then encapsulated in PLGA. EYE001 preserved its bioactivity after encapsulation in PLGA and during its release over a period of 20 days (see, **Figure 4**). Incubation of HUVECs with PLGA-loaded microspheres containing EYE001 formulations and degraded PLGA supernatant had no effect on HUVEC proliferation (data not shown).

Incubation of PLGA microspheres directly with HUVECs revealed the same trend as that of the aptamer collected after it was released from isolated microspheres *in vitro*. No evident signs of toxicity or cell death were observed when blank PLGA microspheres were incubated with HUVECs from microscopic observations and cell counts (data not shown). These results are consistent with reports by others who have conducted cell proliferation assays with polylactides of various molecular weights with rat epithelial cells, human fibroblasts, and osteosarcoma cells under culture conditions (van Sliedregt *et al.* (1992) J. MATER. SCI. MATER. MED. 3:365–370). Overall, it was determined that satisfactory biocompatibility was exhibited (van Sliedregt *et al.* (1992) J. MATER. SCI. MATER. MED. 3:365–370; Athanasiou *et al.* (1996) BIOMATERIALS 17:93–102). These data support the conclusion that the method described in this Example is useful for the long-term inhibition of VEGF-mediated responses *in vivo*.

H. Transscleral Delivery of EYE001 Released from PLGA Microspheres

Active EYE001 was delivered from PLGA microspheres in a controlled manner for an extended period *in vitro*. It is also of interest whether the hydration of the sclera would be sufficient to degrade the microspheres and result in aptamer release and diffusion through the sclera. PLGA-loaded microspheres were loaded into a device, which was then placed on the sclera of Dutch belted rabbits as shown in **Figure 6**.

The following experiments were performed pursuant to the ARVO Statement for the Use of Animals in Ophthalmic and Vision Research and guidelines developed by the Animal Care Committee of the Massachusetts Eye and Ear Infirmary. Dutch belted rabbits (Myrtle's Rabbitry, Inc., Thompson Station, TN), each weighing 2 to 3 kg, were anesthetized and killed with an intramuscular combination of 40 mg/kg ketamine (Abbott Laboratories, North Chicago, IL) and 10 mg/kg xylazine (Bayer, Shawnee Mission, KS) as described (Ambati *et al.* (2000) INVEST. OPHTHALMOL. VIS. SCI. 41:1181–1185). The eyes were enucleated immediately before the rabbits were killed and were immersed in DPBS. The adherent muscles were excised, and scleral tissue was removed. Areas free of nerve and vessel emissaries were used to obtain 12 × 20-mm slices of sclera under microscope caliper guidance. Each piece of sclera was immersed on PBS and used on the day of isolation.

The *in vitro* apparatus used for these experiments was modified from one previously described (see, **Figure 6**) (Ambati *et al.* (2000) INVEST. OPHTHALMOL. VIS. SCI. 41:1181–1185). Briefly, a 10 × 18-mm window was created on one face of a polystyrene cuvette (Sigma, St. Louis, MO) with use of a vertical milling machine (Bridgeport Machines, Bridgeport, CT), and a piece of sclera was blotted dry and placed over this window without stretching, avoiding asymmetrical stress. The tissue was sealed to the cuvette with a small amount of cyanoacrylate tissue adhesive (Ellman International, Hewlett, NY) applied continuously around its rim.

PLGA-loaded solid microspheres (5 mg) were packed into a device 9 mm in diameter and 4 mm in depth made from a polypropylene cap of a 26.5-gauge needle (BD Biosciences, Lincoln Park, NJ). Cyanoacrylate tissue adhesive was placed around the border of the device and sealed against the orbital surface. A second identical cuvette was aligned with the first cuvette and glued in place along the margins of the tissue. Both sides of the cuvette were then filled with DPBS (2.5 mL), and the apparatus was placed in an incubator at 37°C without agitation. One side was considered the “uveal” chamber where diffusion of the aptamer would

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occur if the delivery were successful. The other side facing the “orbital” surface of the sclera would comprise any part of the sclera not covered by the device containing the microspheres and would serve as a control to assess any leakage from the device. A protease inhibitor cocktail (Complete Mini; Roche Diagnostics, Indianapolis, IN), at concentrations recommended by the manufacturer, was added to avoid proteolytic degradation of the tissue. In addition, 0.1 mM sodium azide was added to inhibit growth of bacteria in the medium (Boubriak *et al.* (2000) EXP. EYE. RES. 71:503–514). After 24 hours, the “uveal” chamber was sampled for aptamer concentration at 260 nm with a spectrophotometer (UV-Vis LambdaBio 40; Perkin Elmer, Wellesley, MA), and the “orbital” chamber was sampled as a control. Each side was replenished with fresh DPBS. To assess microsphere hydration and degradation, scleral tissue was analyzed by SEM after incubation for a determined period.

The degree of polymer degradation was monitored qualitatively by analyzing the morphology of the microspheres. SEM pictures showed the morphologic state of the microparticles after exposure to scleral hydration after a period of 18 hours and after 6 days (see, **Figure 7**). During the first 18 hours, the polymer microspheres seemed to adhere to the tissue, but no significant degradation was observed (see, **Figure 7B**), as expected, because of the short incubation time. However, after 6 days, PLGA microspheres showed significant degradation and formation of pores along its surface (see, **Figure 7C**). The visible signs of degradation indicated that scleral hydration was sufficient to degrade the PLGA-loaded microspheres, indicating feasibility of the delivery method for EYE001 through the sclera.

To determine whether diffusion of EYE001 through the sclera was indeed possible after delivery from PLGA microspheres, the aptamer concentration was monitored in the uveal chamber (sampling the chamber with the uveal side of the sclera exposed), and, as a control, the aptamer concentration in the orbital chamber was monitored (sampling chamber with the orbital side of sclera exposed and containing the device loaded with microspheres). Having determined the characteristics of the *in vitro* release profiles of EYE001 from the microspheres, aptamer diffusion through the sclera was monitored for 6 days. Table 1 presents the data showing the amount of aptamer diffused through the sclera. The amount of aptamer delivered from PLGA microspheres and diffused through the sclera is comparable with that released *in vitro* from isolated microspheres. An average of 2 µg/day was sampled in the uveal chamber, indicating that EYE001 diffused readily through the sclera, as reported previously for molecules of similar molecular weight. An average of 0.5 µg/day was sampled in the control chamber. SEM analysis

of lyophilized powder obtained after freeze drying of the volume sampled in the uveal chamber revealed that there were no microspheres present, indicating that the drug permeated in its free, nonencapsulated form.

TABLE 1

Day	EYE001 _{sc} [*] (μ g)	EYE001 _{cc} [†] (μ g)
1	3.4 \pm 0.8	0.7 \pm 0.3
2	2.3 \pm 0.5	0.5 \pm 0.3
3	2.1 \pm 0.6	0.5 \pm 0.2
4	1.8 \pm 0.3	0.6 \pm 0.4
5	2.4 \pm 0.1	0.5 \pm 0.2
6	2.6 \pm 0.2	0.4 \pm 0.3

Data are presented as the average results of three experiments \pm SD.

^{*} Sampling Chamber.

[†] Control Chamber.

Given that diffusion was monitored for 6 days in an *in vitro* setup, an important consideration was the integrity and viability of the sclera during the transport study. To examine this, cultured scleral tissue immersed in PBS and incubated at 37°C for 6 days was examined by transmission electron microscopy (TEM). Tissue was placed in modified Karnovsky fixative consisting of 2.5% glutaraldehyde and 2% formaldehyde in 0.1 M cacodylate buffer with 8 mM CaCl₂ and fixed for 12 to 24 hours at 4°C. The specimens subsequently were changed to 0.1 M cacodylate buffer for storage at 4°C. The tissue then was trimmed to block size and postfixated in 2% aqueous OsO₄ for 2 hours at room temperature. After the tissue was rinsed in buffer, it was dehydrated in ascending concentrations of ethanol, transitioned through propylene oxide, and infiltrated with mixtures of propylene oxide and Epon (EMBed 812; Electron Microscopy Sciences, Fort Washington, PA), embedded in pure Epon, and polymerized at 60°C for 18 to 24 hours. One-micrometer sections and thin sections were cut on an ultramicrotome (Ultracut E; Leica, Deerfield, IL). The 1 μ m sections were stained with 0.5% toluidine blue and the thin sections with saturated aqueous uranyl acetate and Sato lead stain, and then examined with a transmission electron microscope (model CM-10 Philips, Eindhoven, The Netherlands).

As a control, fresh scleral tissue, fixed the same day it was detached, was analyzed. As can be observed in **Figure 8**, there were signs of swelling of the collagen fibrils in the cultured sclera when compared with fresh rabbit sclera, as evidenced by the thickness of the collagen fibers, but the general ultrastructure of the tissue was preserved, as determined by TEM. This is

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consistent with the observations in other investigations in which similar *in vitro* experiments were performed to determine diffusion of solutes through the sclera, with the results indicating that normal scleral physiology can be maintained over the course of short- and long-term perfusion periods (Geroski *et al.* (2000) INVEST. OPHTHALMOL. VIS SCI. 41:961–964).

5 Rabbit sclera is 71% water (Boubriak *et al.* (2000) EXP. EYE RES. 71:503–514) and, as documented by electron microscopy (see, **Figure 7C**), it served to hydrate and degrade the solid PLGA microspheres placed on the orbital side of the sclera, which were not in contact with any hydration medium other than the hydrated scleral surface itself. An important aspect of PLGA controlled-delivery devices is that they provide continuous release and avoid the repeated use of
10 injections or high concentrations of drug to achieve the desired pharmacological response. Even though controversy exists over how the flux over the sclera occurs and whether it achieves steady state (Prausnitz *et al.* (1998) IND. ENG. CHEM. RES. 37:2903–2907), the controlled-drug delivery device discussed hereinabove increases drug–sclera contact, thus improving scleral absorption. The hypocellularity (Foster *et al.* (1994) THE SCLERA. New York: Springer- Verlag)
15 and large surface area (Olsen *et al.* (1998) AM J OPHTHALMOL. 125:237–241) of the human sclera, as well as its remarkable tolerance of foreign bodies overlying its surface (e.g., scleral buckles) helps to facilitate diffusion through it and allow a long-term transscleral delivery device to be clinically feasible (Haynie *et al.* (1994) PRINCIPLES AND PRACTICE OF OPHTHALMOLOGY Philadelphia: WB Saunders).

20 INCORPORATION BY REFERENCE

The entire disclosure of each of the publications and patent documents referred to herein is incorporated by reference in its entirety for all purposes to the same extent as if the teachings of each individual publication or patent document were included herein.

EQUIVALENTS

25 The invention may be embodied in other specific forms without departing from the spirit or essential characteristics thereof. The foregoing embodiments, therefore, are to be considered in all respects illustrative rather than limiting on the invention described herein. Scope of the invention is thus indicated by the appended claims rather than by the foregoing description, and all changes that come within the meaning and range of equivalency of the claims are intended to
30 be embraced therein.

WHAT IS CLAIMED IS:

- 1 1. A microsphere for sustained aptamer delivery, the microsphere comprising an anti-
2 vascular endothelial growth factor aptamer and a biocompatible polymer.
- 1 2. The microsphere of claim 1, wherein the aptamer comprises from 0.1% (w/w) to 30%
2 (w/w) of the microsphere.
- 1 3. The microsphere of claim 2, wherein the aptamer comprises from 0.1% (w/w) to 10%
2 (w/w) of the microsphere.
- 1 4. The microsphere of claim 3, wherein the aptamer comprises from 0.5% (w/w) to 5%
2 (w/w) of the microsphere.
- 1 5. The microsphere of claim 1, further comprising trehalose.
- 1 6. The microsphere of claim 5, wherein the mass ratio of aptamer to trehalose is at least 1:3.
- 1 7. The microsphere of claim 1, wherein the biocompatible polymer is a degradable polymer.
- 1 8. The microsphere of claim 7, wherein the degradable polymer is selected from the group
2 consisting of polycarbonates, polyanhydrides, polyamides, polyesters, polyorthoesters,
3 and copolymers or mixtures thereof.
- 1 9. The microsphere of claim 8, wherein the polyester is selected from the group consisting
2 of poly(lactic acid), poly(glycolic acid), poly(lactic acid-co-glycolic acid),
3 polycaprolactone, mixtures thereof and copolymers thereof.
- 1 10. The microsphere of claim 9, wherein the polymer comprises poly(lactic acid-co-glycolic
2 acid).
- 1 11. The microsphere of claim 7, wherein the polymer has a half-life of degradation under
2 physiological conditions of at least 1 month.
- 1 12. The microsphere of claim 1, wherein the biocompatible polymer is a non-degradable
2 polymer.
- 1 13. The microsphere of claim 12, wherein the non-degradable polymer is selected from the
2 group consisting of polyether, vinyl polymer, polyurethane, cellulose-based polymer, and
3 polysiloxane.

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- 1 14. The microsphere of claim 13, wherein the polyether is selected from the group consisting
2 of poly (ethylene oxide), poly (ethylene glycol), and poly (tetramethylene oxide).
- 1 15. The microsphere of claim 13, wherein the vinyl polymer is selected from the group
2 consisting of polyacrylates, acrylic acids, poly (vinyl alcohol), poly (vinyl pyrrolidone),
3 and poly (vinyl acetate).
- 1 16. The microsphere of claim 13, wherein the cellulose-based polymer is selected from the
2 group consisting of cellulose, alkyl cellulose, hydroxyalkyl cellulose, cellulose ethers,
3 cellulose esters, nitrocellulose, and cellulose acetates.
- 1 17. The microsphere of claim 1, 10 or 11, wherein the microsphere has a diameter of about
2 15 μm .
- 1 18. A method of treating or inhibiting an ocular disease in a mammal in need thereof, the
2 method comprising the step of administering to the mammal the microspheres of claim 1
3 in an amount sufficient to treat or inhibit the disease.
- 1 19. The method of claim 18, wherein the administering step comprises contacting a scleral
2 surface of the eye of the mammal with the microspheres.
- 1 20. The method of claim 18 or 19, wherein the disease is optic disc neovascularization, iris
2 neovascularization, retinal neovascularization, choroidal neovascularization, corneal
3 neovascularization, vitreal neovascularization, glaucoma, pannus, pterygium, macular
4 edema, vascular retinopathy, retinal degeneration, uveitis, inflammatory diseases of the
5 retina, or proliferative vitreoretinopathy.
- 1 21. The method of claim 20, wherein the corneal neovascularization is associated with
2 trauma, chemical burns or corneal transplantation.
- 1 22. The method of claim 20, wherein the iris neovascularization is associated with diabetic
2 retinopathy, vein occlusion, ocular tumor or retinal detachment.
- 1 23. The method of claim 20, wherein the retinal neovascularization is associated with
2 diabetic retinopathy, vein occlusion, sickle cell retinopathy, retinopathy of prematurity,
3 retinal detachment, ocular ischemia or trauma.

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- 1 24. The method of claim 20, wherein said intravitreal neovascularization is associated with
2 diabetic retinopathy, vein occlusion, sickle cell retinopathy, retinopathy of prematurity,
3 retinal detachment, ocular ischemia or trauma.
- 1 25. The method of claim 20, wherein the choroidal neovascularization is associated with a
2 retinal or subretinal disorder of age-related macular degeneration, presumed ocular
3 histoplasmosis syndrome, myopic degeneration, angioid streaks or ocular trauma.
- 1 26. A method of preparing the microspheres of claim 1, the method comprising the steps of:
2 a) dissolving a biocompatible polymer in a solvent to form a solution;
3 b) combining the solution with the aptamer to produce a mixture;
4 c) combining the mixture of step b with a coacervating agent; and
5 d) permitting the biocompatible polymer to form microspheres containing the aptamer.
- 1 27. The method of claim 26, wherein step b further comprises the step of adding trehalose.
- 1 28. The method of claim 27, wherein the mass ratio of aptamer to the trehalose is at least 1:3.

1

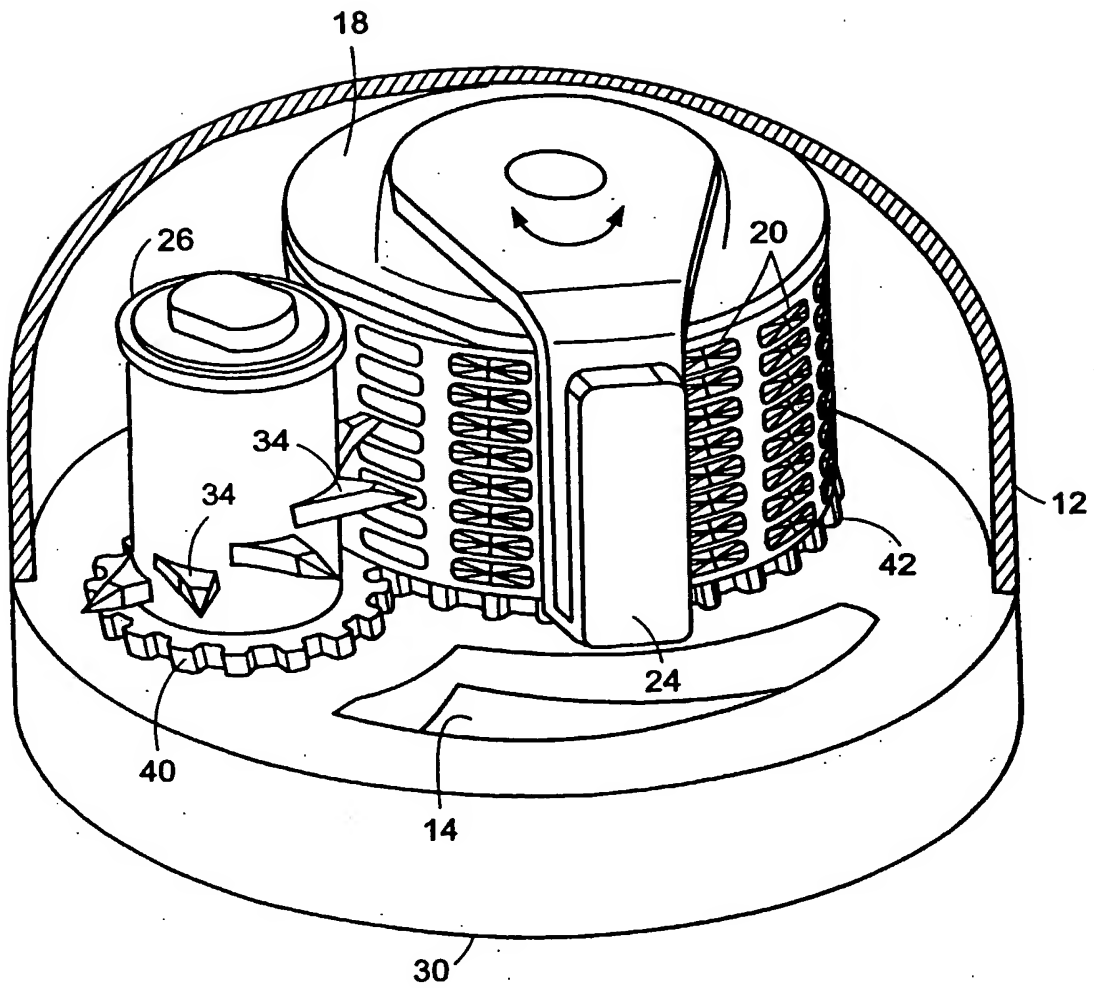


FIG. 1

FIG. 2A

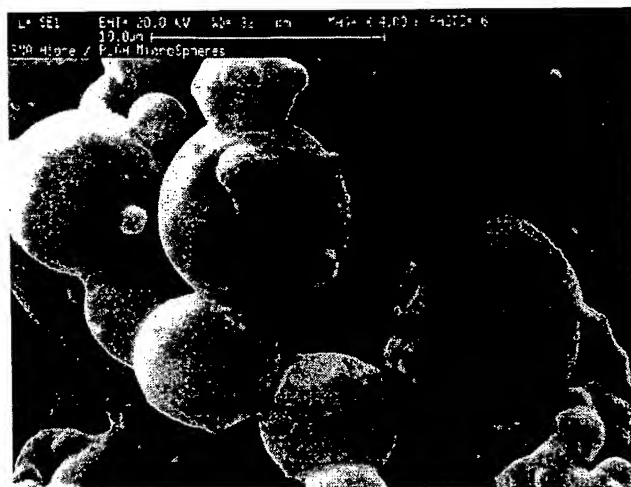
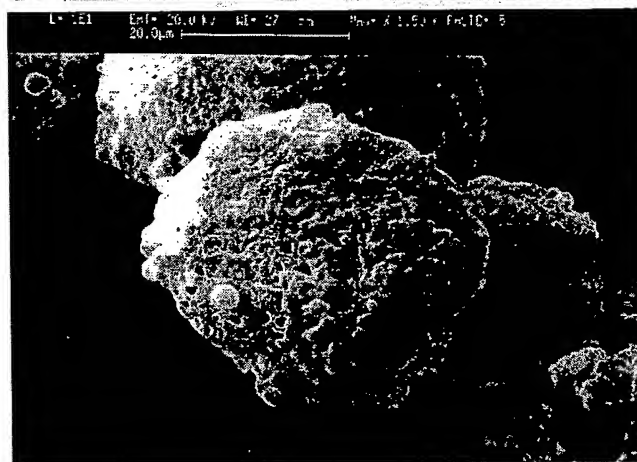


FIG. 2B



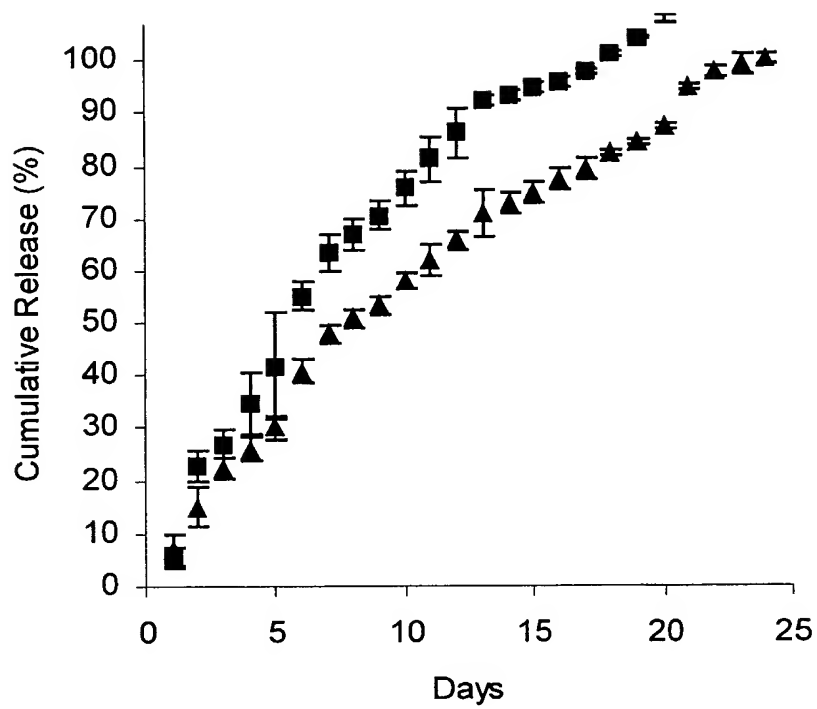


FIG. 3A

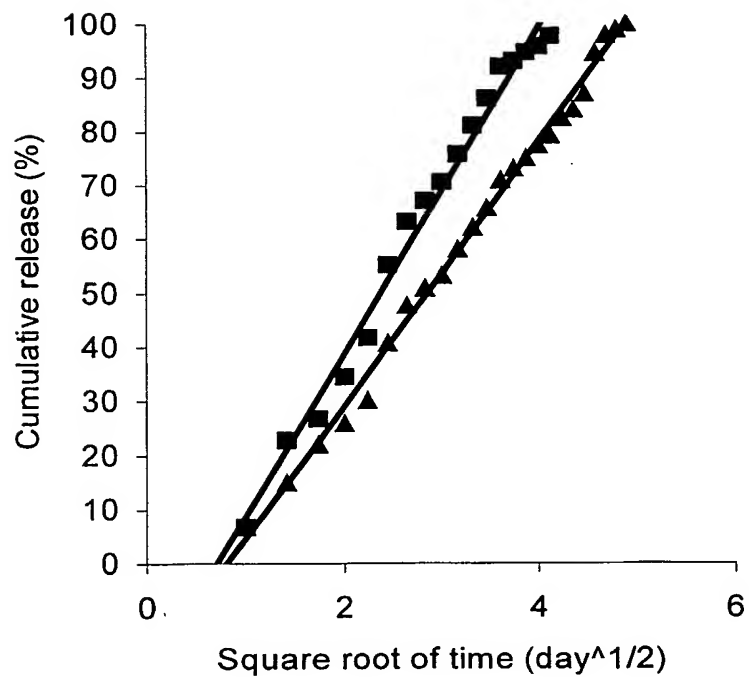


FIG. 3B

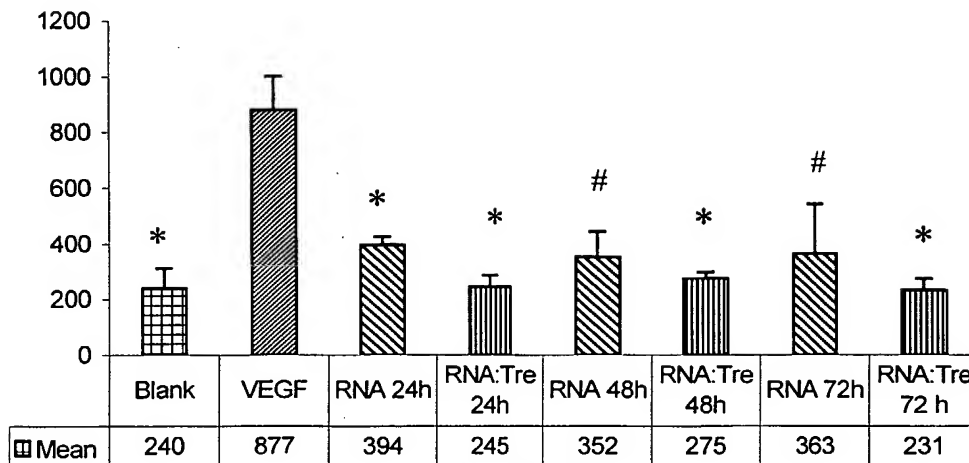


FIG. 4A

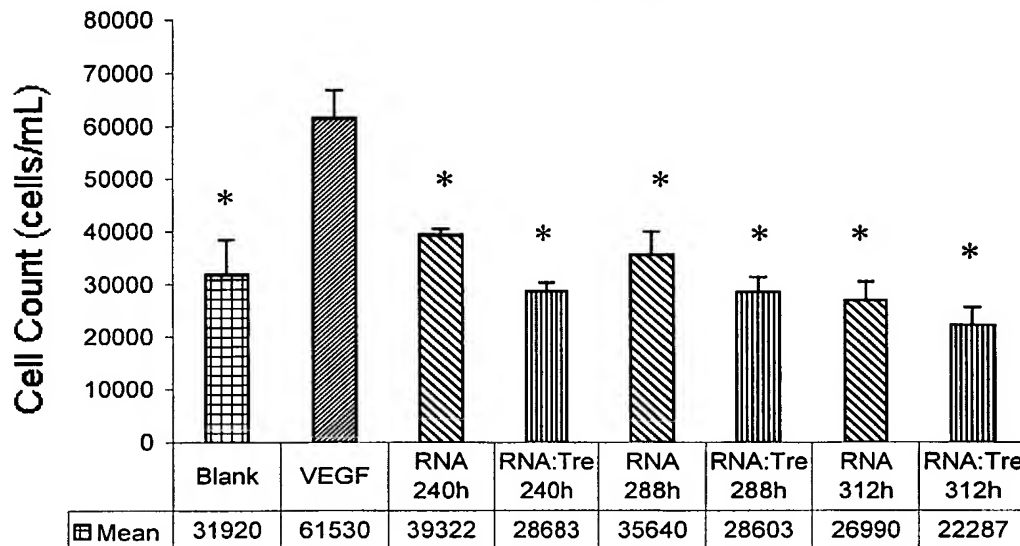
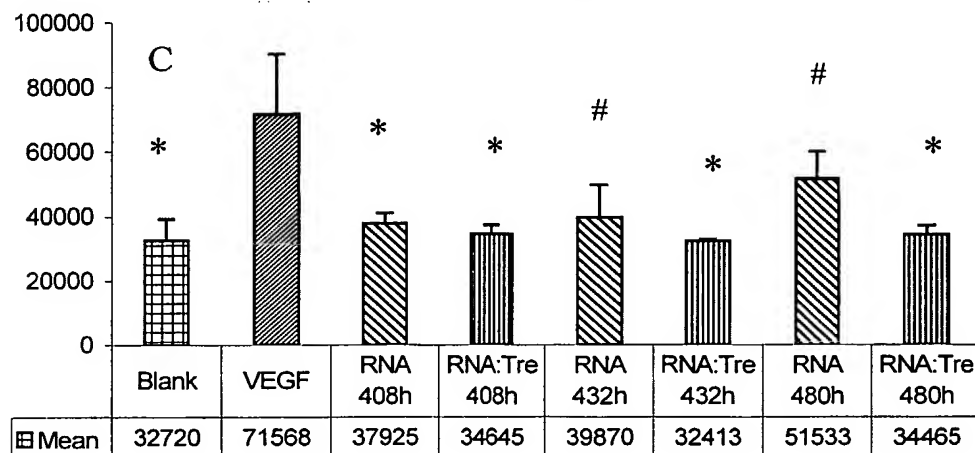
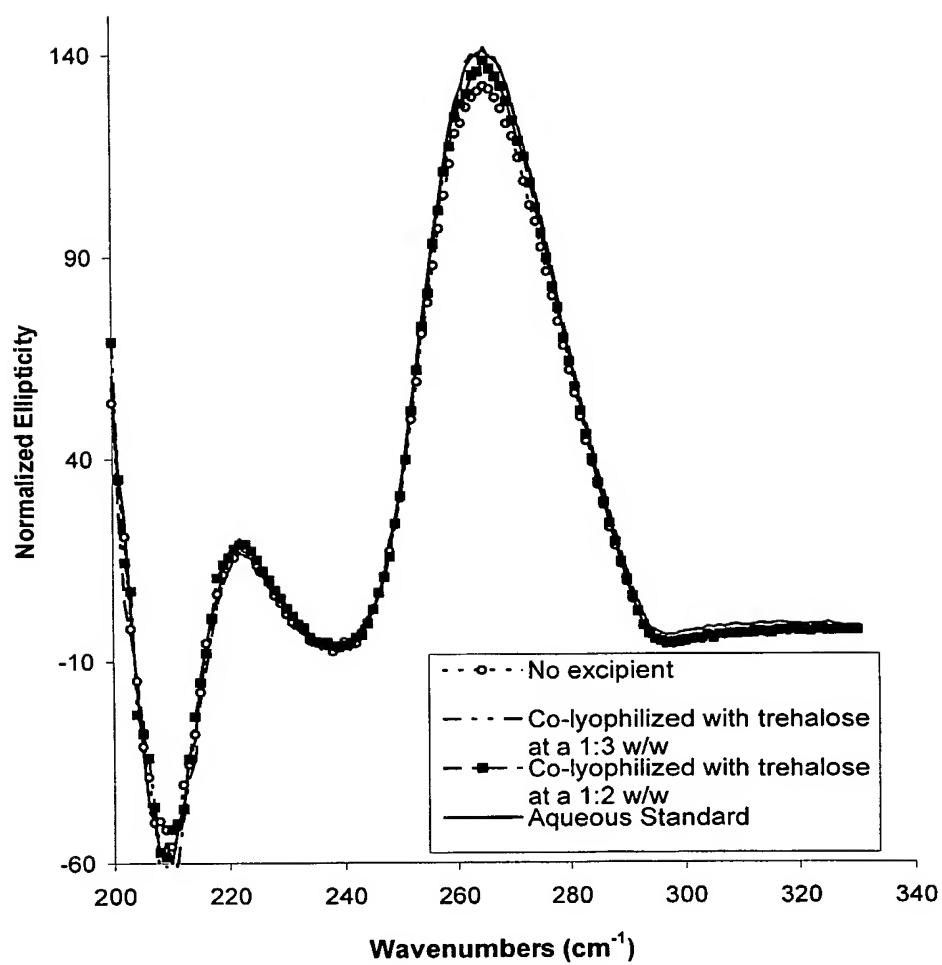


FIG. 4B



Incubation Condition

FIG. 4C

**FIG. 5**

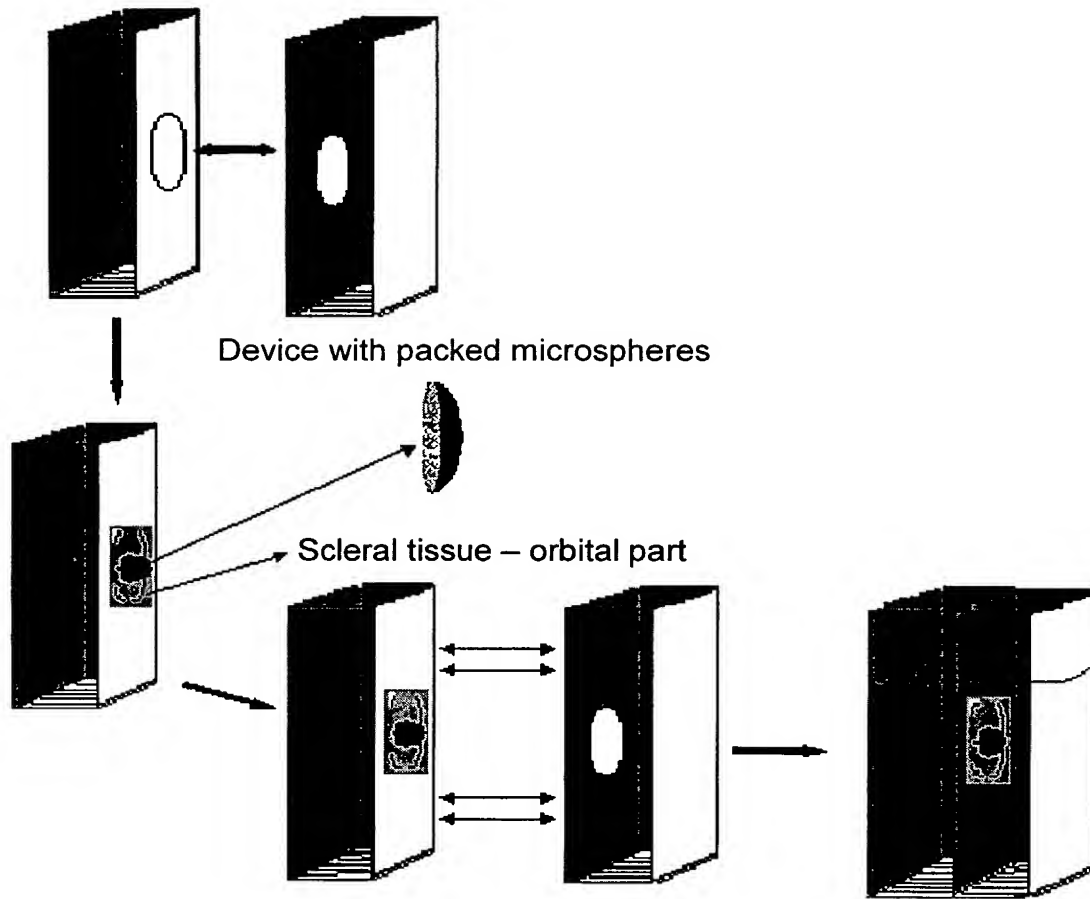


FIG. 6

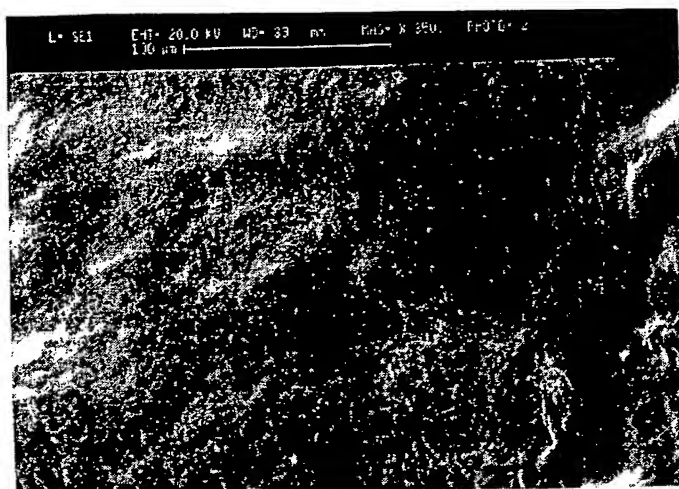
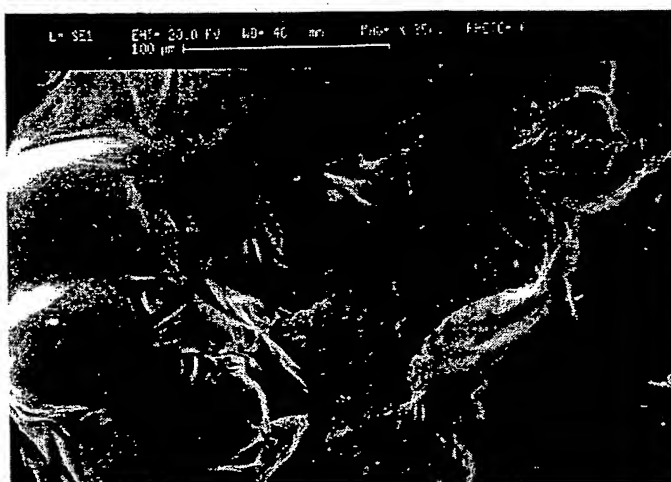
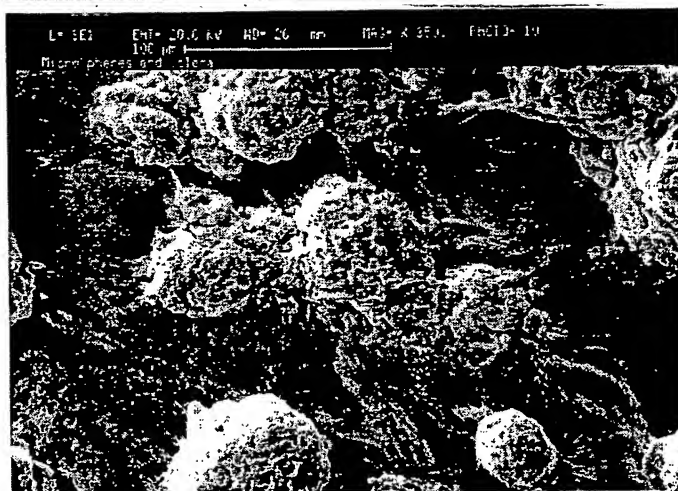
FIG. 7A**FIG. 7B****FIG. 7C**

FIG. 8A

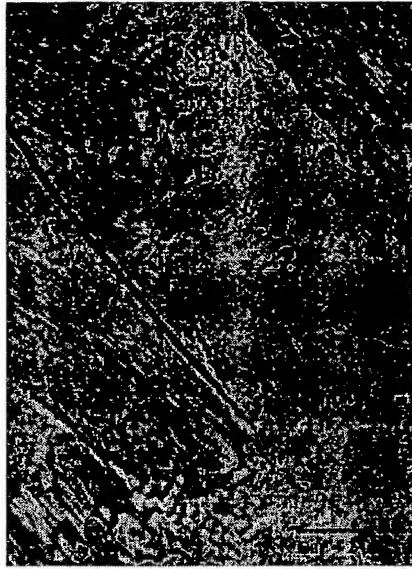


FIG. 8B

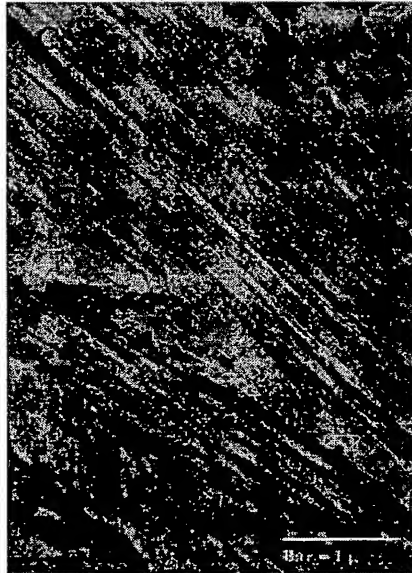


FIG. 8C

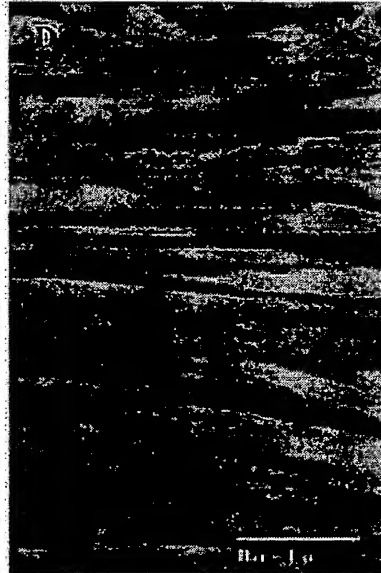


FIG. 8D